

Import LC Internal Amendment User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Import LC Internal Amendment User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

## Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Import LC Internal Amendment

Import LC Internal Amendment process enables the user to make an amendment to the underlying LC details without impacting the terms and conditions of the LC.

This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Registration</a>
<a href="#">Data Enrichment</a>	<a href="#">Multi Level Approval</a>

## Common Initiation Stage

The user can initiate the new import LC internal amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the 'Initiate Task' interface in the Oracle OBTFPM application. The main content area is titled 'Registration' and contains two dropdown menus: 'Process Name' (set to 'Import LC Internal Amendment') and 'Branch \*' (set to 'PK2-FLEXCUBE UNIVERSAL BANK'). Below these are 'Proceed' and 'Clear' buttons. The left sidebar menu has 'Initiate Task' highlighted with a red box. The top right corner shows the user 'JEEVA02' and email 'subham@gmail.com'. A date 'Mar 22, 2019' is also visible. An 'Activate Windows' watermark is present at the bottom right.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

## Action Buttons

Use action buttons based on the description in the following table:

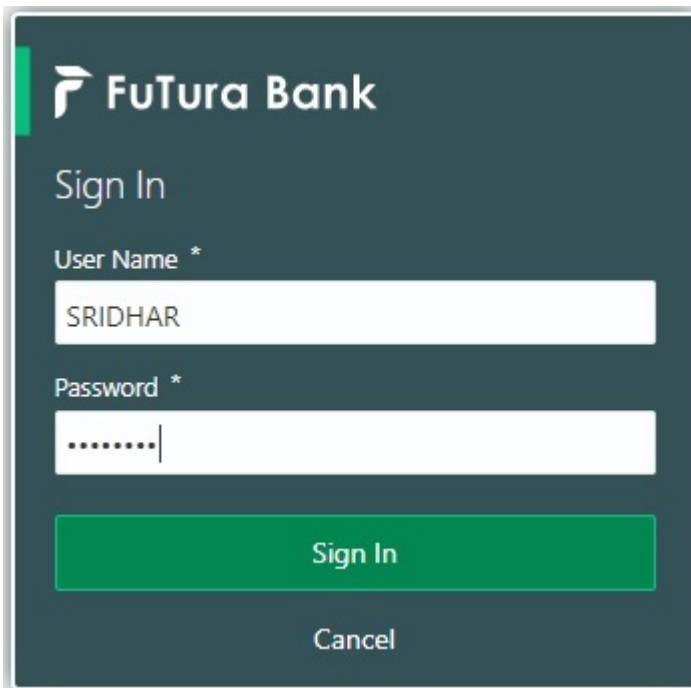
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

# Registration

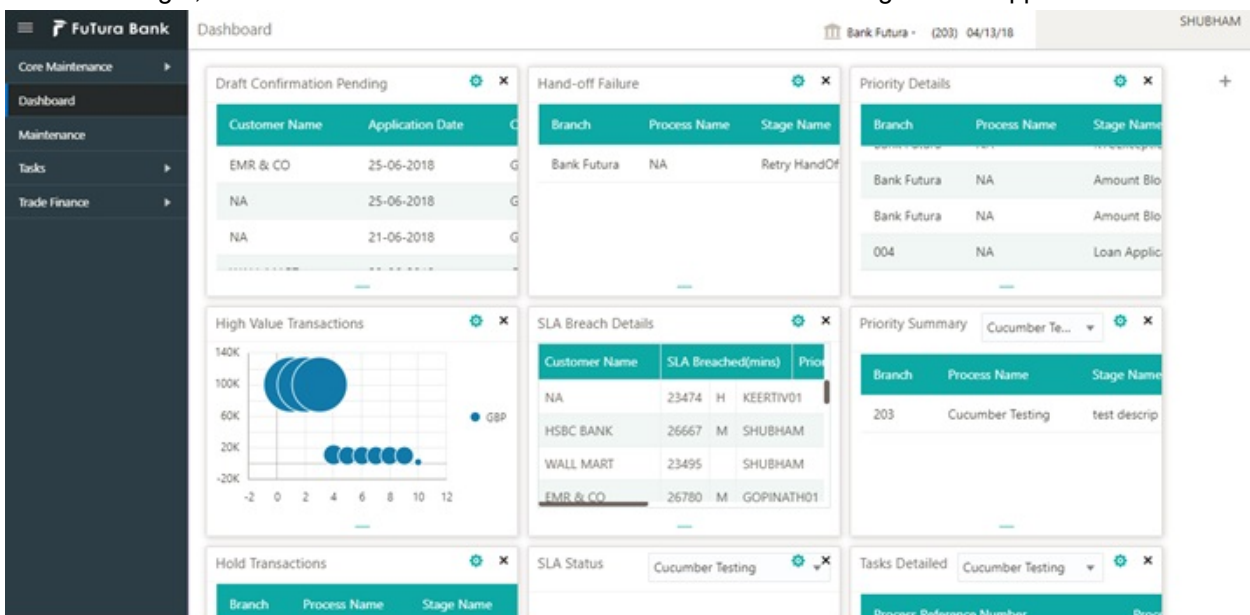
User can register request of new Import LC internal amendment received at the front desk through branch either by fax, mail, or physical application form, the Import LC internal amendment process starts from the Registration Stage.

During Registration stage, user can capture the basic details of the amendment application, check the signature of the applicant and upload the related documents of the applicant. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for an LC expert to handle the request in the next stage.

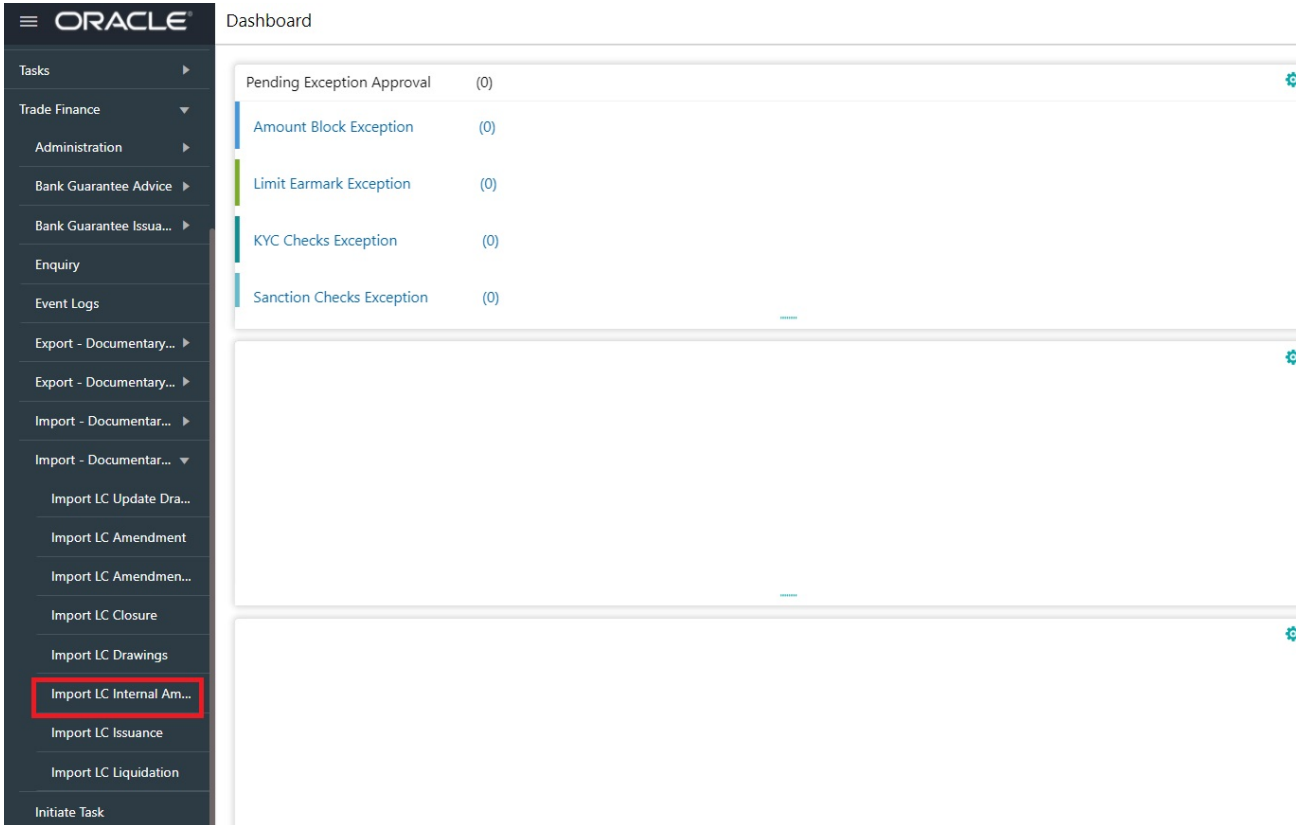
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click Trade Finance> Import - Documentary Credit > Import LC Amendment.



The Registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of Registration screens below:

## Application Details

Import LC Internal Amendment

Signatures Documents Remarks Customer Instruction

Application Details

20 - Documentary Credit Number  
PK2ILSR211251004

Branch  
PK2-Oracle Banking Trade Finan...

Amendment Date  
May 5, 2021

Received From: Applicant Bank

Received From - Customer ID  
001204

Received From - Customer Name  
PK2WALKIN1

Process Reference Number  
PK2ILCI000007075

Priority  
Medium

Submission Mode  
Desk

Customer Reference Number

View LC Events

LC Amendment Details

Revolving

LC Type  
Sight

Product Code  
ILSR

Product Description  
Import LC Sight Revolving advance Per

56A - Advising Bank  
000329 MANHATTAN B

40A - Form of Documentary Credit  
IRREVOCABLE

30 - Date of Issue  
May 5, 2021

40C - Applicable Rules  
UCP LATEST VERSION

31D - Date of Expiry  
May 12, 2021

31D - Place of Expiry  
LONDON

51A - Applicant Bank

50 - Applicant  
001204 PK2WALKIN1

59A - Beneficiary Name  
001044 GOODCARE PLC

32B - Currency Code, Amount  
USD \$110,000.00

39A - Percentage Credit Amount Tolerance  
10 / 10

LC Outstanding Amount  
USD \$0.00

Limits/Collateral Required

39C - Additional Amount Covered

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV.  In LOV search/advanced LOV search, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be amended.	
Received From Applicant bank	Read only field. Value will be defaulted as available in LC.	Toggle off
Received From - Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.	001344
Received From - Customer Name	Read only field. Customer Name will be defaulted as available in LC.	EMR & CO
Branch	Read only field. Branch details will be defaulted from LC.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. User are allowed to change the value.	High
Submission Mode	Select the submission mode of Import LC Amendment request. By default the submission mode will have the value as 'Desk' for transactions created via registration.  <b>Desk-</b> Request received through Desk <b>Fax-</b> Request received through Fax <b>Email-</b> Request received through Email <b>Courier-</b> Request received through Courier	Desk
Amendment Date	By default, the application will display branch's current date. User cannot change the date to a back date or future date.	04/13/2018
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/ applicant bank. Enables the user to provide a unique Customer Reference Number for the amendment.	



## LC Amendment Details


The LC Amendment Details section allows the registration user to view the latest LC values defaulted in the respective fields. All fields displayed in LC details section are read only fields.

The screenshot shows the 'LC Details' form with the following data:

LC Type: Sight	Product Code: ILSR	Product Description: Import LC Sight, Revolving advance Per	Advising Bank: 001185 RBS PLC
Form of Documentary Credit: IRREVOCABLE	Date of Issue: May 5, 2021	Applicable Rules: UCP LATEST VERSION	Date of Expiry: May 31, 2021
Place of Expiry: LONDON	Applicant Bank:	Applicant: 001044 GOODCARE PLC	Beneficiary: 001204 PK2WALKIN1
Currency Code & Amount: GBP £100,000.00	Amount In Local Currency: GBP £100,000.00	Percentage Credit Amount: /	Additional Amount Covered:
Shipping Guarantee Reference:			

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. This field displays the value used for LC Type as per the latest LC details.	
Product Code	Read only field. This field displays the product code used during Issuance of the selected LC.	
Product Description	Read only field. This field displays the description of the product as in Import LC Issuance.	
Advising Bank	This field displays the advising bank as per the latest LC details.	
40A - Form of Documentary Credit	Read only field. This field displays the value available in LC record.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the applicable rule as per the latest LC details.	
Date Of Expiry	This field displays the expiry date as per the latest LC details.	09/30/18
Place of Expiry	This field displays the place of expiry as per the latest LC details.	
Applicant Bank	This field displays the applicant bank if available as per the latest LC details.	
Applicant	This field displays the applicant as per the latest LC details.	

Field	Description	Sample Values
Beneficiary Name	<p>This field displays the beneficiary as per the latest LC details.</p>  <p><b>Note</b> If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message.</p>	
Currency Code, Amount	This field displays the currency code/ LC Amount as per the latest LC details.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details as per the latest LC details.	
LC Outstanding Amount	This field displays the Outstanding LC Amount as per the latest LC details.	
Limits/ Collateral Required	<p><b>Toggle On:</b> Set the toggle 'On' to enable limit check.</p> <p><b>Toggle Off:</b> Set the toggle 'Off' to disable limit check.</p>	
Additional Amount Covered	This field displays the details of additional amount covered as per the latest LC details.	

## Miscellaneous

Import LC Internal Amendment

Signatures Documents Remarks Customer Instruction

### Application Details

20 - Documentary Credit Number  
PK2ILSR211251004

Branch  
PK2-Oracle Banking Trade Finan...

Amendment Date  
May 5, 2021

Received From Applicant Bank

Process Reference Number  
PK2ILCI000007075

Customer Reference Number

Received From - Customer ID  
001204

Priority  
Medium

Received From - Customer Name  
PK2WALKIN1

Submission Mode  
Desk

View LC Events

### LC Amendment Details

Revolving

56A - Advising Bank  
000329 MANHATTAN B

31D - Date of Expiry  
May 12, 2021

59A - Beneficiary Name  
001044 GOODCARE PLC

Limits/Collateral Required

LC Type  
Sight

40A - Form of Documentary Credit  
IRREVOCABLE

31D - Place of Expiry  
LONDON

32B - Currency Code, Amount  
USD \$110,000.00

39C - Additional Amount Covered

Product Code  
ILSR

30 - Date of Issue  
May 5, 2021

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance  
10 / 10

Product Description  
Import LC Sight: Revolving advance Per

40C - Applicable Rules  
UCP LATEST VERSION

50 - Applicant  
001204 PK2WALKIN1

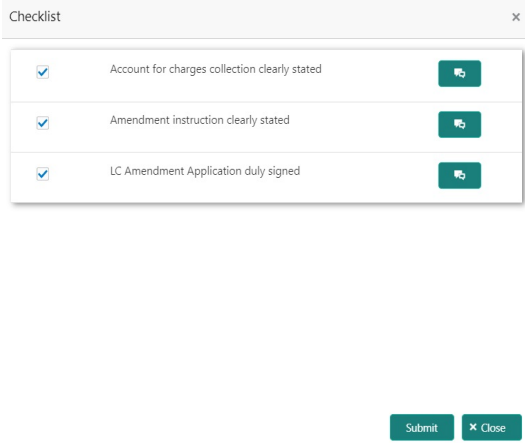
LC Outstanding Amount  
USD \$0.00

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the required documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View LC	Enables user to view the details of the underlying LC.	

### Action Buttons

Field	Description	Sample Values
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Internal Amendment.</p> <p>If mandatory fields have not been captured or mandatory documents were not uploaded or mandatory checklists are not selected, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save &amp; Close, saves the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	<p>The Task gets cancelled and system should clear the details captured in the screen. The task will get deleted.</p>	
Hold	<p>The details entered in the screen will be saved and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <p>The checklist items under Registration Stage are:</p> <ul style="list-style-type: none"> <li>● Application signed and stamped</li> <li>● Customer signature verified</li> <li>● Any correction or alteration initialed by the applicant</li> </ul> 	

## Data Enrichment

On successful completion of Registration of an Internal LC Amendment request, the request moves to Data Enrichment stage. At this stage the user enter/update the basic details of the amendment request and can verify if the request can be progressed further.

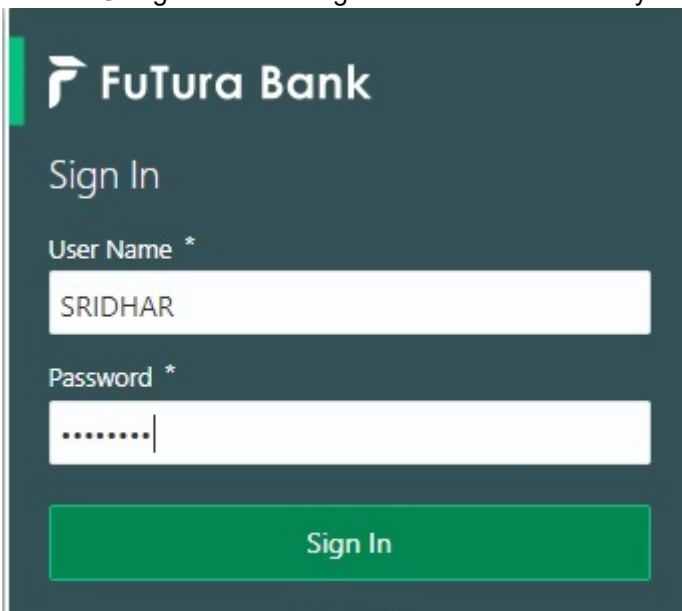
**Non-Online Channel** - Internal LC Amendment request that were received at the desk will move to Data Enrichment stage post successful Registration. The transaction will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via SWIFT (MT730) are available directly for further processing in OBTFPM from Data Enrichment stage and relevant data should be auto populated.

For MT 730, system should validate the incoming MT 730 based on Related Reference field to identify whether it is Acknowledgment for Import LC or Export LC. If the MT 730 is for Import LC, system should process the MT 730 under Internal Amendment to Import LC.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

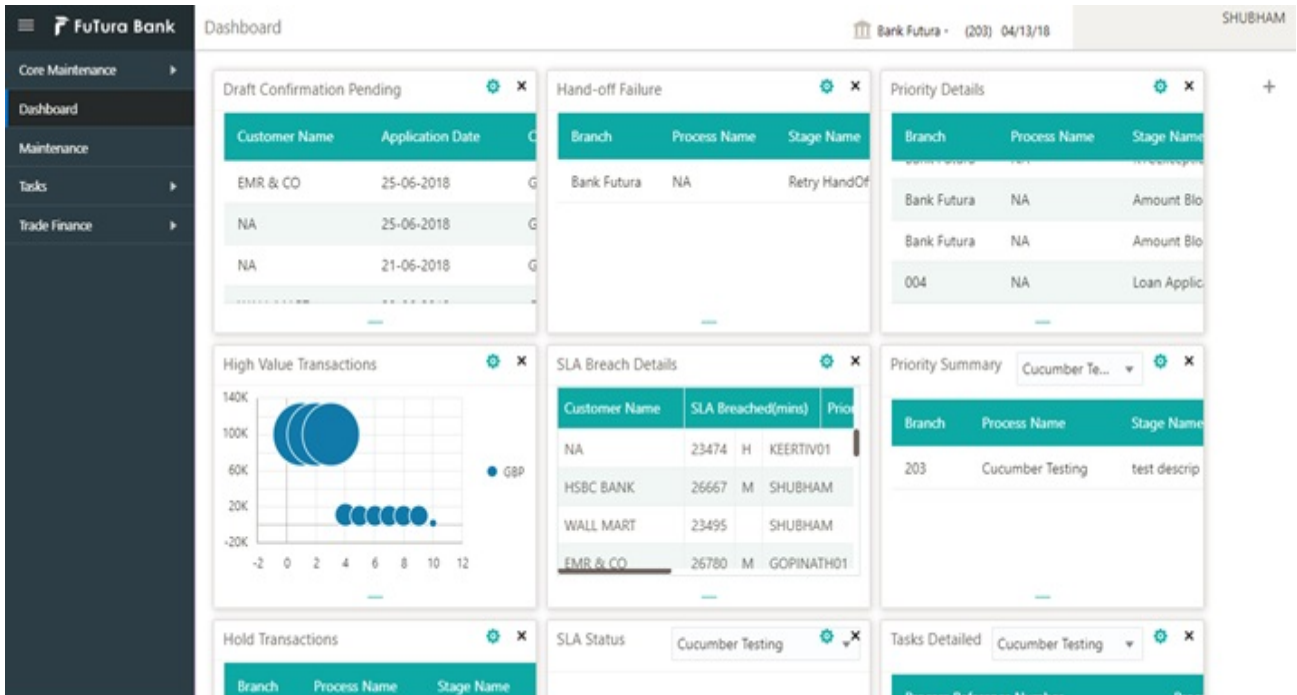
SRIDHAR

Password \*

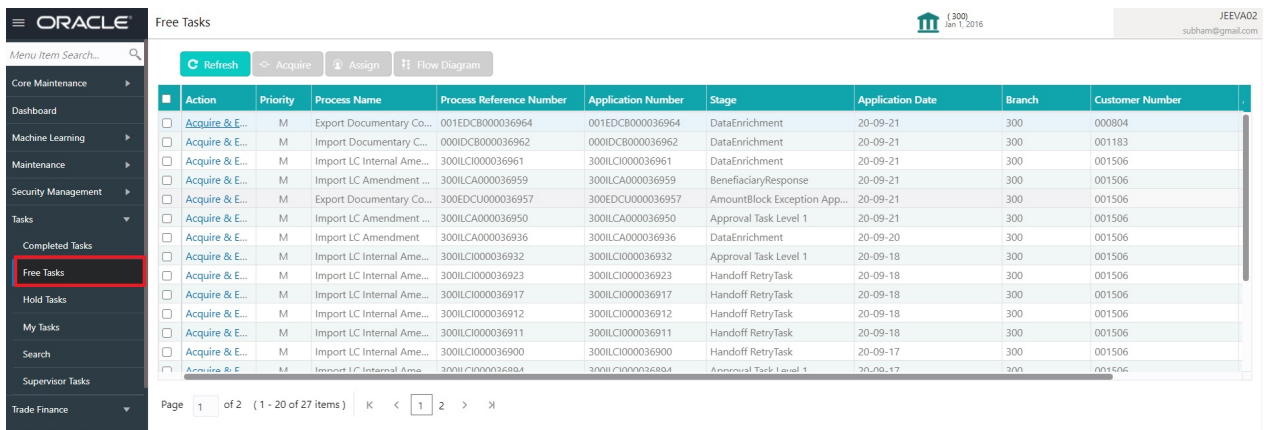
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Num
<input type="checkbox"/> Acquire & Edit	M	Export Documentary Co...	001EDCB000036964	001EDCB000036964	DataEnrichment	20-09-21	300	000804
<input type="checkbox"/> Acquire & Edit	M	Import Documentary C...	000IDCB000036962	000IDCB000036962	DataEnrichment	20-09-21	300	001183
<input checked="" type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036961	300ILCI000036961	DataEnrichment	20-09-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Amendment ...	300ILCA000036959	300ILCA000036959	BeneficiaryResponse	20-09-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Export Documentary Co...	300EDCU000036957	300EDCU000036957	AmountBlock Exception App...	20-09-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Amendment ...	300ILCA000036950	300ILCA000036950	Approval Task Level 1	20-09-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Amendment ...	300ILCA000036936	300ILCA000036936	DataEnrichment	20-09-20	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036932	300ILCI000036932	Approval Task Level 1	20-09-18	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036923	300ILCI000036923	Handoff RetryTask	20-09-18	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036917	300ILCI000036917	Handoff RetryTask	20-09-18	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036912	300ILCI000036912	Handoff RetryTask	20-09-18	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036911	300ILCI000036911	Handoff RetryTask	20-09-18	300	001506
<input type="checkbox"/> Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036900	300ILCI000036900	Handoff RetryTask	20-09-17	300	001506

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input type="checkbox"/> Edit	M	Import LC Internal Ame...	300ILCI000036965	300ILCI000036965	Registration	20-09-21	300	001506
<input checked="" type="checkbox"/> Edit	M	Import LC Internal Ame...	300ILCI000036961	300ILCI000036961	DataEnrichment	20-09-21	300	001506
<input type="checkbox"/> Edit	M	Guarantee Advise	300GTEA000036948	300GTEA000036948	Scrutiny	20-09-21	300	001506
<input type="checkbox"/> Edit	M	Export LC Amendment	300ELCA000036928	300ELCA000036928	Registration	20-09-18	300	001564
<input type="checkbox"/> Edit	M	Export LC Advise	300ELCA000036927	300ELCA000036927	Scrutiny	20-09-18	300	001506
<input type="checkbox"/> Edit	M	Import LC Issuance	300ILCI000036896	300ILCI000036896	DataEnrichment	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Import LC Issuance	300ILCI000036898	300ILCI000036898	Registration	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Import LC Issuance	300ILCI000036897	300ILCI000036897	Registration	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Import LC Issuance	300ILCI000036895	300ILCI000036895	Registration	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Export LC Advise	300ELCA000036891	300ELCA000036891	Registration	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Import LC Issuance	300ILCI000036890	300ILCI000036890	Registration	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Import LC Internal Ame...	300ILCI000036886	300ILCI000036886	Registration	20-09-17	300	001506
<input type="checkbox"/> Edit	M	Import LC Internal Ame...	300ILCI000036882	300ILCI000036882	Registration	20-09-17	300	001506

The Data Enrichment stage has five sections as follows:

- Main Details
- Acknowledgement Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from Registration /online channels may not be editable.

Audit - This button provides user audit trail transaction, initiated date, stage wise detail etc.

Task Audit Trail Details					
Application No.	Branch Code	Initiated Date	Initiated By		
300ILCI000036961	300	9/21/2020	JEEVA02		
Process Name					
Import LC Internal Amendment					
S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	Mon, 21 Sep 2020 10:51:06 GMT	Mon, 21 Sep 2020 10:51:06 GMT	JEEVA02	COMPLETED

[Close](#)

## Main Details

Main details section has three sub section as follows:

- Application Details
- LC Amendment Details

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Import LC Internal Amendment - DataEnrichment :: Application No: PK2ILCI00000707

[Main Details](#) | [Acknowledgement Details](#) | [Additional Fields](#) | [Advices](#) | [Additional Details](#) | [Summary](#)

Screen ( 1 / 6 )

**Main Details**

Application Details

Received From Applicant Bank

Branch: PK2-Oracle Banking Trade Finan...

Amendment Date: May 5, 2021

LC Amendment Details

Revolving:

56A - Advising Bank: 000329 MANHATTAN B...

31D - Date of Expiry: May 12, 2021

59A - Beneficiary Name: 001044 GOODCARE PLC

Received From - Customer ID: 001204

Received From - Customer Name: PK2WALKIN1

20 - Documentary Credit Number \*: PK2ILSR211251004

Process Reference Number: PK2ILCI000007075

Priority: Medium

Submission Mode: Desk

Customer Reference Number

LC Type: Sight

Product Code: ILSR

Product Description: Import LC Sight, Revolving advance Per

40A - Form of Documentary Credit: IRREVOCABLE

30 - Date of Issue: May 5, 2021

40C - Applicable Rules: UCP LATEST VERSION

31D - Place of Expiry: LONDON

51A - Applicant Bank

50 - Applicant: 001204 PK2WALKIN1

59A - Beneficiary Name: 001044 GOODCARE PLC

32B - Currency Code, Amount: USD \$110,000.00

39A - Percentage Credit Amount Tolerance: 10 / 10

LC Outstanding Amount: USD

[Audit](#) | [Reject](#) | [Refer](#) | [Hold](#) | [Cancel](#) | [Save & Close](#) | [Back](#) | [Next](#)



## LC Amendment Details

The fields listed under this section are same as the fields listed under the [LC Amendment Details](#) section in [Registration](#). Refer to [LC Amendment Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

**LC Amendment Details**

Revolving <input type="checkbox"/> 56A - Advising Bank 000329 MANHATTAN B 31D - Date of Expiry May 12, 2021 59A - Beneficiary Name 001044 GOODCARE PLC Limits/Collateral Required <input type="checkbox"/>	LC Type Sight 40A - Form of Documentary Credit IRREVOCABLE 31D - Place of Expiry LONDON 32B - Currency Code, Amount USD \$110,000.00 39C - Additional Amount Covered	Product Code ILSR 30 - Date of Issue May 5, 2021 51A - Applicant Bank 39A - Percentage Credit Amount Tolerance 10 / 10	Product Description Import LC Sight Revolving advance Per 40C - Applicable Rules UCP LATEST VERSION 50 - Applicant 001204 PK2WALKIN1 LC Outstanding Amount USD
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Reject Refer Hold Cancel Save & Close Back Next

Following are the fields on the landing page of the LC Main screen with the latest LC values. Provide the details for the amendable fields based on the description in the following table:


Field	Description	Sample Values
Received From Applicant bank	Read only field. Value will be defaulted as available in LC.	Toggle off
Received From - Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.	001344
Received From - Customer Name	Read only field. Customer Name will be defaulted as available in LC.	EMR & CO
Documentary Credit Number	<b>Non Online:</b> Ready only defaults from Registration stage. <b>Online:</b> Read only. Received from the online request/ Incoming MT730.	
Branch	Read only field. Branch details will be defaulted from LC.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. User are allowed to change the value.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Import LC Amendment request. By default the submission mode will have the value as 'Desk' for transactions created via registration.	Desk
Amendment Date	By default, the application will display branch's current date. User cannot change the date to a back date or future date.	04/13/2018
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/ applicant bank. Enables the user to provide a unique Customer Reference Number for the amendment.	
Beneficiary Consent	<b>Toggle on:</b> Beneficiary consent required for the amendment made to the fields. <b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for the amendments.	

## LC Details

All fields displayed in LC details section are read only fields.

Field	Description	Sample Values
Revolving	Read only field. This field displays the value used for 'Revolving' as per the latest LC details.	
LC Type	Read only field. This field displays the value used for LC Type as per the latest LC details.	
Product Code	Read only field. This field displays the product code used during Issuance of the selected LC.	
Product Description	Read only field. This field displays the description of the product as in Import LC Issuance.	
Advising Bank	This field displays the advising bank as per the latest LC details.	
40A - Form of Documentary Credit	Read only field. This field displays the value available in LC record.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the applicable rule as per the latest LC details.	

Field	Description	Sample Values
Date Of Expiry	This field displays the expiry date as per the latest LC details.	09/30/18
Place of Expiry	This field displays the place of expiry as per the latest LC details.	
Applicant Bank	This field displays the applicant bank if available as per the latest LC details.	
Applicant	This field displays the applicant as per the latest LC details.	
Beneficiary Name	<p>This field displays the beneficiary as per the latest LC details.</p>  <p><b>Note</b> If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message.</p>	
Currency Code, Amount	This field displays the currency code/ Outstanding LC Amount as per the latest LC details.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details as per the latest LC details.	
LC Outstanding Amount	This field displays the LC Outstanding amount details as per the latest LC details.	
Limits/ Collateral Required	<p><b>Toggle On:</b> Set the toggle 'On' to enable limit check.</p> <p><b>Toggle Off:</b> Set the toggle 'Off' to disable limit check.</p>	
Additional Amount Covered	This field displays the details of additional amount covered as per the latest LC details.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	User can view the incoming SWIFT message MT730.	
View LC	Enables user to view the details of the underlying LC.	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### Acknowledgement - MT730 Details

User must scrutinize the incoming MT730 details of an Internal LC amendment request for the different fields under the respective data segments.

At this stage the incoming MT730 details are auto populated. If required, the MT 730 details can also be entered by the user.

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
<b>Acknowledgment Details</b>		
Advising Bank Reference	<b>Non Online:</b> User can enter the Advising Bank Reference details. <b>Online:</b> Read only. Details received from the online request/ Incoming MT730 will get auto populated.	
Account Identification	User can enter the account identification details.	
Date of Acknowledgment	<b>Non Online:</b> User can enter the date. <b>Online:</b> Read only. Details received from the online request/ Incoming MT730 will get auto populated.	
Charges to be Claimed	<b>Non Online:</b> User can enter the amount of charges. <b>Online:</b> Read-only. System defaults the Amount of Charge from the incoming MT730 received.	
Account with Bank	<b>Non Online:</b> User can enter the account with bank details. <b>Online:</b> Read-only. System defaults the Account with Bank from the incoming MT730 received. User can manually enter the details if not processed as STP.	

Field	Description	Sample Values
Charges	<b>Non Online:</b> User can enter the charge details. <b>Online:</b> Read-only. System defaults the charges from the incoming MT730 received. User can manually enter the details if not processed as STP.	
Sender to Receiver Information	<b>Non Online:</b> User to enter the details. <b>Online:</b> Read-only. System defaults the Sender to Receiver information from the incoming MT730 received.	
Narrative	<b>Non Online:</b> User can enter the details. <b>Online:</b> Read-only. System defaults the Narrative from the incoming MT730 received	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Incoming Message	User can view the incoming SWIFT message MT730.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Import LC amendment Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	



## Additional Fields

Banks can configure these additional fields during implementation.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	The user can view the uploaded documents.
Remarks	The user can view the remarks captured in the process during earlier stages.
Incoming Message	User can view the incoming SWIFT message MT730.
Overrides	User can view the various overrides that have been generated and accepted
View LC	Enables user to view the details of the underlying LC.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>

Field	Description
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	<p>The details provided will be registered and status will be on hold.</p>
Cancel	<p>Cancels the details captured in the screen. The task will get deleted.</p>
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	<p>On click <b>Back</b>, user navigates to previous step.</p>
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>

## Advices

This screen displays the advices maintained for the product as maintained at the product level.

Import LC Internal Amendment - DataEnrichment :: Application No: PK2ILCI00000707

Customer Instruction Common Group Messages Incoming Message View LC Signatures

Main Details Acknowledgement Details Additional Fields **Advices** Additional Details Summary

Advices Screen ( 4 / 6)

<p>Advice : LC_AMND_INSTR</p> <p>Advice Name : <b>LC_AMND_INSTR</b>                  Advice Party : <b>ABK</b>                  Party Name : <b>MANHATTAN BANK</b>                  Suppress : <b>NO</b>                  Advice</p>	<p>Advice : LC_CASH_COL_A...</p> <p>Advice Name : <b>LC_CASH_COL_ADV</b>                  Advice Party : <b>ACC</b>                  Party Name : <b>PHIL HAMPTON</b>                  Suppress : <b>NO</b>                  Advice</p>	<p>Advice : AMD_IMP_CR</p> <p>Advice Name : <b>AMD_IMP_CR</b>                  Advice Party : <b>APP</b>                  Party Name : <b>PK2WALKIN1</b>                  Suppress : <b>NO</b>                  Advice</p>	<p>Advice : LC_AM_INST_CO...</p> <p>Advice Name : <b>LC_AM_INST_COPY</b>                  Advice Party : <b>APP</b>                  Party Name : <b>PK2WALKIN1</b>                  Suppress : <b>NO</b>                  Advice</p>
<p>Advice : LC_AMD_AUTH_...</p> <p>Advice Name : <b>LC_AMD_AUTH_REB</b>                  Advice Party :                  Party Name :                  Suppress : <b>YES</b>                  Advice</p>	<p>Advice : PAYMENT_MESS...</p> <p>Advice Name : <b>PAYMENT_MESSAGE</b>                  Advice Party :                  Party Name :                  Suppress : <b>NO</b>                  Advice</p>		

Audit Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID

Advice Name

Medium

Advice Party

Party Name





Free Format Text

Select	FFT Code	FFT Description
No data to display.		

Instructions

OK Cancel

Field	Description	Sample Values
Suppress Advice	<p><b>Toggle on:</b> Switch on the toggle if advice is suppressed.</p> <p><b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Medium	The medium of advices is defaulted from the system. User can update if required.	

Field	Description	Sample Values
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	The user can view the uploaded documents.
Remarks	The user can view the remarks captured in the process during earlier stages.
Incoming Message	User can view the incoming SWIFT message MT730.

Field	Description
Overrides	User can view the various overrides that have been generated and accepted
View LC	Enables user to view the details of the underlying LC.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	On click <b>Back</b> , user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

# Additional Details

Import LC Internal Amendment - DataEnrichment :: Application No: PK2ILCI0000707

Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Main Details Acknowledgement Details Additional Fields Advices **Additional Details** Summary

Additional Details Screen ( 5 / 6)

Limits and Collaterals	Commission,Charges and...	Preview Messages	Insurance Details
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : <b>USD</b> Collateral : <b>12100</b> Contribution : Collateral Status :	Charge : <b>GBP 100.00</b> Commission : <b>GBP 1163.46</b> Tax : <b>USD 7508.32</b> Block Status :	Language : Preview Advice : -	Company : Insured Amount : Expiry Date :

Linked Loan Details
Loan Account : Loan Currency : Loan Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both. Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	View
No data to display.								

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	0	GBP	US\$0.00	PK20010440017			Cash Collateral	

Deposit Linkage Details

<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.								

Page 1 (0 of 0 items) < 1 >

Limit Details
✕

Customer Id  
001044

Contribution % \*  
100.0

Contribution Currency  
GBP

Limit Currency  
GBP

Limit Check Response  
Available

Expiry Date



Line ID \*  
001044


Limits Description

Contribution Amount \*

Limit Available Amount


Response Message

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if amended.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> <div style="text-align: center;">  <p><b>Note</b></p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.</p> </div>	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be ‘Success’ or ‘Limit not Available’.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	0	GBP	US\$0.00	PK20010440017			Cash Collateral	

Save & Close Cancel



Collateral Details
✕

Collateral Type \*

Currency

Settlement Account \*

Settlement Account Currency

Response

Collateral % \*

Contribution Amount \*

Settlement Account Branch



Account Available Amount

Response Message

Verify

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	<p>Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message “Defaulted Collateral Percentage modified”.</p>	

Field	Description	Sample Values
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	<p>Collateral contribution amount will get defaulted in this field.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

### Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details

Recalculate Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
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Save & Close Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

Charge Details

---

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

---

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	

Field	Description	Sample Values
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

## Preview

The Preview Message tile, draft message from the back office should be simulated and displayed.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	

Field	Description	Sample Values
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Incoming Message	User can view the incoming SWIFT message MT730.	
Overrides	User can view the various overrides that have been generated and accepted	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Summary

User can review the summary of details updated in Data Enrichment Import LC Internal Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

### Tiles Displayed in Summary

- Main Details - User can view and modify the application details and LC details, if required.
- Acknowledgement Details - User can view and modify the MT730 details, if required.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Advices - User can view and modify the advices details, if required.
- Limits and Collaterals - User can view the captured details of limits and collateral.



- Commission, Charges and Taxes - User can view and modify charge details, if required.
- Preview Message - User can preview the message (MT799) generated if any.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Accounting Details - User can view the accounting entries generated in back office.



**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

**Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Incoming Message	User can view the incoming SWIFT message MT730.	
Overrides	User can view the various overrides that have been generated and accepted	
Submit	Task will get moved to next logical stage of Import LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	

## Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

### Re-Key

User can input Rekey before Approval. On successful Rekey of data, user should be able to proceed to the Approval Summary screen.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC Amendment. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	
<b>Action Buttons</b>		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Amendment Approval Rekey.	

## Summary

ORACLE Free Tasks (300) Jan 1, 2016 SRIDHAR02 subham@gmail.com

Import LC Internal Amendment - Approval Task Level 1 :: Application No: 300ILCI000037059

Documents Remarks Overrides Incoming Message View LC

<b>Main Details</b> Form Of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date Of Issue : <b>2016-01-01</b> Date Of Expiry : <b>2016-01-31</b> Place Of Expiry :	<b>Acknowledgement Details</b> Account Identification : Date of : Acknowledgement : Amount : Currency :	<b>Additional Fields</b> Click here to view : Additional fields :	<b>Advices</b> Advice1 : Advice2 :	<b>Settlement Details</b> Component : Account Number : Currency :
<b>Limits and Collaterals</b> Limit Currency : Limit Contribution : Limit Status : <b>Not Verified</b> Collateral Currency : <b>GBP</b> Collateral Contr. : <b>50</b> Collateral Status : <b>Not Verified</b>	<b>Commission,Charges and Taxes</b> Charge : Commission : Tax : Block Status : <b>Not Initia</b>	<b>Preview Messages</b> Language : <b>ENG</b> Preview Message : -	<b>Compliance details</b> KYC : <b>Verified</b> Sanctions : <b>Verified</b> AML : <b>Verified</b>	<b>Parties Details</b> Beneficiary : <b>300WALKIN</b> Applicant : <b>MARKS AND</b>

Audit Reject Hold Refer Cancel Approve

### Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Acknowledgement Details - User can view the MT730 details.
- Additional Fields - User can view the additional fields.
- Advices - User can view to the advices generated.
- Limits and Collaterals - User can view the captured details of limits and collateral.
- Commission, Charges and Taxes - User can view and modify charge details, if required.
- Preview Message - User can preview the message (MT799) generated if any.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.
- Accounting Entries - User can view the accounting entries generated by back office system.



### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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